- 1 The brain and the braincase: a spatial analysis on the midsagittal profile in adult humans
- 2 Emiliano Bruner¹, Hideki Amano², José Manuel de la Cuétara³, Naomichi Ogihara²
- 3
- 4 ¹ Centro Nacional de Investigación sobre la Evolución Humana, Burgos (Spain)

5 ² Keio University, Yokohama (Japan)

- 6 ³ Universidad Autónoma de Madrid, Madrid (Spain)
- 7
- 8 Corresponding author: Emiliano Bruner, Centro Nacional de Investigación sobre la Evolución
- 9 Humana, Paseo Sierra de Atapuerca 3, 09002 Burgos, Spain; email: emiliano.bruner@cenieh.es
- 10

11 Abstract. The spatial relationships between brain and braincase represent a major topic in 12 surgery and evolutionary neuroanatomy. In paleoneurology, neurocranial landmarks are often 13 used as references for brain areas. In this study, we analyze the variation and covariation of 14 midsagittal brain and skull coordinates in a sample of adult modern humans in order to 15 evidence spatial associations between hard and soft tissues. The correlation between parietal 16 lobe size and parietal bone size is very low, and there is a marked individual variation. The 17 distances between lobes and bones are partially influenced by the dimensions of the parietal 18 lobes. The main pattern of morphological variability among individuals, associated with the 19 size of the precuneus, does not influence apparently the position of the neurocranial sutures. 20 Therefore, variations in the precuneal size modify the distance between the paracentral lobule 21 and bregma, and between the parietal lobe and lambda. Hence, the relative position of the 22 cranial and cerebral landmarks can change as a function of the parietal dimensions. The scarce 23 correlation and covariation among these elements suggest a limited degree of spatial 24 integration between soft and hard tissues. Therefore, although the brain influences the cranial 25 size and shape during morphogenesis, the specific position of the cerebral components is 26 sensitive to multiple effects and local factors, without a strict correspondence with the bone 27 landmarks. This absence of correspondent change between brain and skull boundaries 28 suggests caution when making inferences on the brain areas from the position of the cranial 29 sutures. The fact that spatial relationships between cranial and brain areas may vary according 30 to brain proportions must be considered in paleoneurology, when brain anatomy is inferred 31 from cranial evidence.

32

Keywords: neuroanatomy, parietal lobes, precuneus, vault, geometric morphometrics,
 paleoneurology;

36 Introduction

37

The brain and the braincase are partially integrated through their functional and structural 38 39 relationships (Richstmeier et al., 2006). Brain growth generates pressures during 40 morphogenesis, inducing changes on the elements of the braincase (Enlow, 1990). At the same 41 time, such forces may be redirected by biomechanical tensors like the meningeal layers (Moss 42 and Young, 1960) or, on a smaller scale, by the neurons themselves (Van Essen, 1997; Hilgetag 43 and Barbas, 2005), shaping the braincase and the cortex, respectively. The facial block and the 44 cranial base exert further constraints on the neurocranial and cerebral system, adding further 45 factors of correlation (Bookstein et al., 2003; Bastir and Rosas, 2005). In ontogenetic terms, the 46 neural elements, maturing earlier, influence the basal and facial areas, which mature later 47 (Bastir et al., 2006). Nonetheless, later changes of the facial block can induce minor changes of 48 the brain morphology (Neubauer et al., 2009). In evolutionary terms, it is expected that the 49 bone components influence the brain morphology at the endocranial base, while in the vault 50 the reverse situation is more likely, with the cortical tissue shaping the bony elements (Bruner, 51 2015). Integration plays a major role in phylogenetic and ontogenetic changes, but it seems 52 somehow less decisive in shaping adult intra-specific variation. In adult variability, local factors 53 still have a major role in influencing the endocranial (Bruner and Ripani, 2008) and cerebral 54 (Bruner et al., 2010; Gomez-Robles et al., 2014) shape. In both cases, spatial proximity is the 55 main source of integration suggesting that, at least in morphology, structural factors may be 56 largely a matter of short range physical interactions. Such local influences and anatomical dissociation are therefore major forces in cranial evolution (Bookstein et al., 2003; 57 58 Mitteroecker and Bookstein, 2008).

59 The spatial organization of brain and braincase is a relevant issue in medical and evolutionary 60 fields. In microsurgery, the spatial relationships between cranial and cerebral points can supply 61 relevant information during craniotomies and for intraoperative identification of the sulcal 62 patterns (Ribas et al., 2006). The reciprocal influence between soft and hard neurocranial 63 elements is also essential when dealing with pathological conditions altering the timing of 64 growth and development, like in craniosynostoses (Aldridge et al., 2002). In paleoneurology, 65 this information is necessary to provide reliable inferences on brain morphology from neurocranial osteometric landmarks (Holloway et al., 2004; Bruner et al., 2011; Ogihara et al., 66 67 2015). Previous analyses have been published which investigate the brain midsagittal shape 68 variation in adult humans by using digital anatomy and geometric morphometrics, this plane 69 being relevant in terms of biological organization and human evolution (Bruner et al., 2010; 70 2014a). However, we ignore how these brain morphological variations can influence the 71 boundaries of the cranial elements, and to what extend the cranial boundaries can be used to 72 get indirect information on the extension of the underlying brain areas.

The morphogenetic association between vault bones and lobes is due to brain pressure and endocranial forces redistribution (Moss and Young, 1960; Enlow, 1990) and embryological processes shared by soft and hard tissues (Jang et al., 2002; Morriss-Kay and Wilkie, 2005). This leads to a correspondence between brain and bones general morphology and surface

geometry. Nonetheless, beyond the general vault curvature, we currently ignore to whatextent the expansion of the bone, as delimited by its sutures, is influenced by brain size.

The pattern of suture displacement will depend upon local factors and the precise distribution of such morphogenetic forces (Fig. 1). A correspondent growth of lobes and bones will involve proportional changes between these areas. In this case, for example, larger parietal lobes will involve larger parietal bones, and a proportional displacement of the respective sutures. Conversely, a non-linear growth, or a growth based on multiple independent factors, will involve a small or null spatial correlation between cranial and cerebral elements.

85 To investigate these two alternatives, we analyze the spatial variations of midsagittal cranial 86 and cerebral landmarks in a sample of adult individuals in order to establish patterns and 87 constraints associated with the relationships between hard and soft tissues according to the 88 normal endocranial variability of our species. A null hypothesis is represented by absence of 89 association between bones and lobes, in terms of dimensions (as measured by diameters) and 90 spatial position (as measured by landmark coordinates). In this case, larger lobes are not 91 associated with larger bones, and the brain variations do not influence the dimensions of the 92 bones and the position of their sutures. Conversely, under a direct and linear relationship, 93 changes in one of these references (cranial bones or brain lobes) should be associated with 94 corresponding changes in the others. In this case, the spatial relationships between cranial and 95 brain landmarks should remain stable. If brain morphology influences directly the growth of 96 the adjacent bone elements, for example, larger parietal bones should be associated with 97 larger parietal lobes, and the spatial relationships between lobes and bones should remain 98 constant.

99

100 Materials and methods

101

102 One-hundred adult individuals were sampled from the OASIS magnetic resonance (MRI) 103 database (Marcus et al., 2007). The sample is composed of 50 males and 50 females, with an 104 age range of 20-40 years. This range was selected to include brains with full maturation and 105 stable cortical morphology (according to Gogtay et al., 2004), but avoiding the following 106 decades in which brain shrinking can influence the spatial relationships between brain and 107 skull topology. MRI signal is based onto the concentration of water or fat, and it is therefore 108 more suited to reveal the morphology of the soft tissues. Although it is scarcely useful to 109 reveal the cranial elements, it can however show the position of the cranial sutures, because 110 of their connective content (Cotton et al., 2005). Using MRI to reveal sutures and bone 111 boundaries can limit the resolution of the analysis, but nonetheless it represents a useful 112 operational compromise to deal with soft and hard tissues at once. Integration of tomographic 113 and resonance data would be more suited for this scope but, at present, it is not feasible for 114 large samples in terms of costs, logistics, and X-ray exposure. Because of the noise associated 115 with this operational limit, further researches with different techniques will be surely 116 necessary to supply more detailed data on this topic.

117 We analyzed the midsagittal section because it has many homologous landmarks for both 118 brain and skull, being largely investigated in evolutionary neuroanatomy (Bruner et al., 2004; 119 2010). Twenty-three landmarks were sampled in two dimensions from brain and cranial 120 references (Fig. 2). In particular, the boundaries between frontal, parietal, and occipital bones 121 and lobes are of interest for this study to evaluate whether the position of the former can be 122 used to estimate the position of the latter. Landmarks on the vault profile were all sampled 123 along the endocranial surface, independently upon the presence of meninges and of the 124 cerebrospinal fluid. Scans are below such degree of resolution, and this minor approximation 125 does not influence the macroanatomical variations we are interested in this study. Although this study concerns the midsagittal elements, landmarks have been localized by using the 126 127 information available throughout the whole MRI stacks. This approach is useful when dealing 128 with individual variations, allowing the recognition on a larger scale of sulci and gyri beyond 129 confounding factors like the presence of connective and vascular components. Bregma 130 (endobregma) and lambda (endolambda) were localized by following, throughout the whole 131 MRI stacks, the course of the coronal and lambdoidal sutures. The sutures can be recognized 132 moving along the stacks through transversal or sagittal sections, and bregma and lambda can 133 be recognized as the midsagittal meeting point between the left and right sutures. The 134 position of the lambda compared to the location of the parieto-occipital sulcus and the 135 position of the bregma compared to the central sulcus were specifically considered, being 136 generally used to delimit the frontal, parietal, and occipital territories. Landmarks were 137 sampled by one single researcher (HA). Intra-observer error based on 5 replicas digitized in 5 138 independent sessions shows a range of 0.4 - 1.8 mm, averaging 0.8 mm. Distances between 139 bregma, central sulcus, marginal branch of the cingulate sulcus, lambda, and perpendicular 140 sulcus were quantified by studying the distributions of the their distances. The distance 141 between the marginal branch of the cingulate sulcus and the parieto-occipital sulcus 142 represents the length of the precuneus. This diameter is particularly important, considering 143 previous results on its variation (Bruner et al., 2014; Bruner et al., 2015). The distance between 144 bregma and lambda represents the length of the parietal bone. The distance between central 145 sulcus and parieto-occipital sulcus represents the length of the parietal lobe. The distance 146 between the central sulcus and bregma represents the overlapping area between parietal 147 bone and frontal lobes. The distance between lambda and the perpendicular sulcus represents 148 the overlapping area between parietal bone and occipital lobes. This last value can be 149 negative, considering that in few specimens the perpendicular sulcus can be positioned before 150 lambda, that is under the occipital bone. A preliminary analysis showed a strong correlation 151 between precuneus chord and arc (R = 0.997; p = 0.0001) and parietal bone chord and arc (R = 152 0.962; p = 0.0001). However, both arcs and chords will be used here as proxy of midsagittal 153 size for bones and lobes in order to take the effect of bulging into account.

First, an analysis based on linear correlation among bones and lobes lengths was aimed at investigating the overall proportions between hard and soft elements. Second, a shape analysis was performed to evaluate the role of the bones and lobes boundaries within the main morphological correlation patterns. Shape variation, as represented by a geometrical model based on landmark coordinates, was analyzed according to the principles of geometric morphometrics (Zelditch et al., 2004). Two dimensional coordinates from 23 cerebral and

160 cranial landmarks (Figure 2) were normalized by Procrustes superimposition, by translating to 161 a common centroid, scaling to unitary centroid size, and rotated as to minimize the distance 162 between corresponding landmarks (Bookstein, 1991). This registration minimizes the spatial 163 differences within the sample, and the residuals after normalization are available to be 164 analyzed through multivariate statistics. Shape coordinates were analyzed by Principal 165 Component Analysis, to describe and quantify the patterns of covariation among the 166 transformed coordinates. The patterns of variation along the multivariate vectors can be 167 visualized by coordinate displacement and geometrical models, or by using deformation grids 168 based on thin-plate spline function, which interpolates the minimum deformation associated 169 with the differences between configurations or along multivariate axes. As such, shape 170 changes are strictly referred to relative proportions and spatial relationships, and not to the 171 overall dimensions.

172 Correlation between different groups of landmarks (blocks) was also further tested by Partial

- 173 Least-Square correlation based on separate superimpositions (Rohlf and Corti, 2000). Statistics
- were computed with MorphoJ 1.06f (Klingenberg, 2011) and PAST 2.17 (Hammer et al., 2001).
- 175

176 Results

177

178 Table 1 shows the distribution of the distances between the main anatomical references. 179 According to these values, the precuneus length is definitely more variable (coefficient of 180 variation 20.6) than the parietal bone length (coefficient of variation 5.1). The correlation 181 between parietal lobe and parietal bone lengths is low (chords: R = 0.27; p = 0.01; arcs: R = 0.01182 0.32; p = 0.001) and the correlation between precuneus length and parietal bone length is 183 even more modest (chords: R = 0.20; p = 0.05; arcs: R = 0.24; p = 0.02). Hence, although a 184 larger precuneus is associated with larger parietal bone, this relationship explains only 185 between 4% and 6% of the latter values, suggesting a considerable individual variation based on different factors. When considering the whole parietal lobes, its correlation with the 186 187 parietal bone explains a little more (7%-10%). There is a moderate negative correlation 188 between the precuneus length and the separation of the boundaries between the parietal 189 bone and lobe (R = -0.37 and p = 0.0002 for both metrics, namely the distance between 190 bregma and central sulcus, and the distance between lambda and parieto-occipital sulcus). 191 Therefore, the larger the precuneus the more the boundaries of the lobe approach the 192 boundaries of the bone. This suggests that the extension of the bone is not much sensitive to or associated with the extension of the lobe. 193

The scatterplot of the Procrustes coordinates (Fig. 2) shows that, although lambda generally lies behind the parieto-occipital sulcus, there is some overlapping in the variations of these two landmarks. In fact, in 10 specimens (10% of the sample), lambda, which is generally located behind the parieto-occipital sulcus, was found to be positioned beyond it, and hence the boundary between the parietal and occipital bone trespasses the boundary between the

parietal and occipital lobes. In contrast, bregma is always very far from the central andprecentral sulci, well above the prefrontal cortex.

201 A principal component analysis of the Procrustes coordinates shows a first dominant 202 component and a set of secondary components, showing only minor differences in their 203 relative weight (Fig. 3). According to a broken stick threshold based on random distribution, 204 and to a threshold of 5% of variance explained, at least the first six components are significant. 205 Such minor differences between these secondary components can be interpreted as the result 206 of the scarce morphological integration described in the skull (Bruner and Ripani, 2008) and 207 brain (Bruner et al., 2010; Gómez-Robles et al., 2014) as a whole, and will not be discussed 208 further. Because of the dominant role of the first component, only this vector will be evaluated 209 in detail, as a reliable biological pattern of covariation in this study. This first principal 210 component explains 25% of the variance, and it is associated with dilation/contraction of the 211 precuneus, displacing anteriorly/posteriorly the central area (Fig. 3). The proportions of the 212 paracentral lobule do not change. This change only influences brain landmarks and not skull 213 landmarks. Accordingly, along this vector, the relative position of the brain and cranial 214 references does change. The dilation (lengthening) of the precuneus mainly occurs anteriorly, 215 displacing the paracentral lobule towards a more forward position. Therefore, it involves a 216 reduction in the distance between the central cortical area and the bregma and, to a lesser 217 extent, increases the distance between the parietal lobes and the lambda. Hence, the position 218 of the cranial references (bones boundaries) relative to the cerebral references (lobes 219 boundaries) will depend upon the size of the parietal lobes. The rest of the spatial organization 220 is not particularly influenced by this main pattern. The result is the same if we analyze males 221 and females separately.

If we analyze only the outer profile (from the internal occipital protuberance to crista galli) or only the cortical block (precuneus and paracentral lobule – Fig. 3d) the result is the same: a first principal component associated with precuneal lengthening/shortening, in which this change is particularly expressed forward, reducing/increasing the distance between the central cortical area and the bregma.

A Partial Least-Square correlation between the central cortical block (precuneus and paracentral lobule) and the rest of the configuration is not significant (p = 0.16), further evidencing a lack of patent reciprocal association between these blocks.

230 In summary, we observe a modest correlation between parietal bone and lobe length, a large 231 individual variation, and a variable spatial organization between cranial and cerebral elements. 232 Variations of the brain proportions exert a minor influence on the extension of the vault 233 bones. The reciprocal position of cranial and cerebral elements is not constant, and their 234 morphology is sensitive to independent factors. The main pattern of variability is associated 235 with increase of the precuneus size, separating the boundaries between the parietal and 236 occipital bone and lobe, and approaching the boundaries between the frontal and parietal 237 bone and lobe.

239 Discussion

240

241 The spatial relationships between brain and sutures

242 The spatial relationships between brain and braincase represent a basic issue both in 243 evolutionary and medical studies. Previous pioneering analyses in neurosurgery have been 244 performed by dissection of cadavers (Ribas et al., 2006). However, analyses performed with 245 physical dissections have often limits in the sample size because of the difficulties in 246 performing these kinds of studies, and limits in the anatomical reliability because tissues are 247 not observed in their functional conditions. In this study, we analyze the geometric 248 relationships between major cranial and cerebral landmarks in a sample of 100 adult humans, 249 by using MRI imaging, bivariate analysis, and geometric morphometric multivariate 250 approaches. We computed a bivariate analysis to quantify the degree of correlation between 251 dimensions and position of the cortical and bone elements of the upper braincase. Then, we 252 computed a shape analysis to investigate the role of these relationships within the major 253 morphological schemes underlying the phenotypic structure.

254 The bivariate analysis showed that the correlation between parietal bones and lobes is very 255 weak. Larger lobes are associated with larger bones, but the correlation is low and there is a 256 considerable individual variability. In this sense, the null hypothesis is falsified because of the 257 existence of a correlation, and we can state that larger parietal lobes are associated with larger parietal bones. However, this correlation is scanty, suggesting the existence of further 258 259 independent factors making this association feeble. The parietal lobes contribute to the extension of the parietal bones, but only to a limited extent, at least when considering intra-260 261 specific adult variability. In individuals with larger parietal lobes, the distance between the boundaries of lobes (sulci) and bones (sutures) is smaller. Therefore, we can conclude that 262 263 there is an allometric pattern in which the enlargement of the parietal bone does not keep the 264 pace of the enlargement of the parietal lobe and, by consequence, the boundaries of these two areas get closer. The pattern is however weak, influenced by other factors and by 265 266 individual variation. The fact that the two areas do not show a correspondent variation, and 267 the scarce correlation, suggest that the spatial position of the cranial and cerebral elements is 268 influenced by independent variables, with a limited integration between hard and soft tissues 269 in the final phenotype.

270 Shape analysis was aimed at considering whether these spatial relationships influence the 271 patterns of correlation which generate the phenotypic variation. In morphometrics, the 272 covariance structure as revealed through multivariate statistics is able to quantify and 273 characterize the strength of the correlation schemes constraining the phenotypic variability, 274 namely the degree and patterns of morphological integration among the anatomical 275 components (Wagner, 1984). Following these principles, studies in two (Bruner et al., 2010) 276 and three (Gómez-Robles, 2014) dimensions suggest that the adult brain morphology shows a 277 modest degree of integration, mostly based on local effects and physical proximity. Our data, 278 integrating the cranial component with the brain shape geometry, are in agreement with these 279 previous results, evidencing only one dominant pattern of covariance, followed by many minor

280 secondary vectors. This main pattern is associated specifically with the relative proportions of 281 the precuneus, displacing back and forth the paracentral lobule formed by the precentral and 282 postcentral areas. This same pattern has been described previously by using a different sample (Bruner et al., 2014a), and that result can be confirmed and reproduced here. This change of 283 284 the precuneal area is not only a variation in parietal proportions compared with the rest of the 285 brain, but it is also associated with an actual enlargement/reduction of the precuneal cortical 286 surface (Bruner et al., 2015). The current analysis evidence that this major morphological 287 component, based on precuneus dimensions, involves brain geometry but without influencing 288 in a corresponding way the bone extension. Therefore, precuneus enlargement/reduction 289 changes secondarily the reciprocal positions of bones and lobes. The changes at the posterior 290 boundary are less conspicuous, most of the spatial adjustment being associated with the 291 displacement of the anterior areas. Once more, these results suggest independence between 292 the cranial and cerebral elements: as the brain proportions changes, the cranial boundaries do 293 not change accordingly. Interestingly, no endocranial morphological changes were described in one case study in which a bregmatic bone was so large to constitute an actual fifth component 294 295 of the vault morphogenesis (Barberini et al., 2008). That case suggests a remarkable stability of 296 the endocranial morphological system, independent from patent changes of the suture 297 positions and patterns.

298 Therefore, we conclude that during morphogenesis the bulging of the parietal lobe can 299 influence the curvature of the parietal bone, but the spatial reciprocal organization of the 300 cranial and cerebral elements vary according to other independent factors. Hence, we must 301 probably distinguish a general morphological integration (form integration) from a more 302 specific spatial integration (relative position of the anatomical elements). It is worth noting 303 that the parietal enlargement associated with the braincase globularity of our species occurs in 304 a very early post-natal stage (Neubauer et al., 2009; Neubauer, 2014) and, beyond gross 305 morphological changes, the parietal cortex matures also very early (Gogtay et al., 2004). In 306 later stages other brain and cranial districts undergo growth and development, changing the 307 spatial relationships previously established (Bastir et al., 2006). With this information in mind, 308 at least two different hypotheses can explain the partial independence between cranial and 309 cerebral elements that we have described in this study among adult individuals: the changes of 310 the spatial relationships between parietal bones and lobes can be achieved during the parietal 311 morphogenesis, or else after this stage (Fig. 4). In the first case, the parietal bulging associated 312 with the globularization stage specific of our species would change the spatial relationships 313 between bones and lobes. The growing parietal volume displaces the frontal cortex, and the 314 central sulcus approaches the frontal bone. In the second case, the parietal bulging would be 315 associated with a corresponding (isometric) growth of the parietal bone. In this stage, there is 316 a tighter integration between parietal bone and lobe. Such correspondence is then lost in 317 successive stages, when the anterior areas (the frontal lobes and the facial block) grow and 318 develop during later morphogenetic steps. Ontogenetic series will be necessary to evaluate 319 these two alternatives.

320

321 Parietal enlargement and paleoneurology

322

323 Bregma and lambda are the boundaries between the frontal, parietal, and occipital bones. The 324 central sulcus and the perpendicular sulcus are the boundaries between the frontal, parietal, 325 and occipital lobes. While bones are real biological units associated with specific 326 morphogenetic elements, lobes are conventional units, which do not represent actual 327 neuroanatomical entities. Nonetheless, the correspondence between bones and lobes is often 328 used as a possible reference in medicine and paleoneurology. In surgery, cranial landmarks are 329 used as spatial reference to plan and perform operations. In paleoneurology, cranial landmarks 330 are used to estimate brain areas. In neurosurgery, current biomedical imaging is a form of 331 direct support to develop and verify a proper map of the relationships between skull and brain 332 anatomy. In contrast, in paleoneurology, the soft tissues are lost, and brain shape can be only 333 inferred by using endocranial shape. The current analysis suggests that in modern humans 334 cranial sutures should not be used as fixed references to make inferences on brain areas, at 335 least according to their specific position. In this sense, "average" distances between brain and 336 skull landmarks may not be informative and may be even misleading, because they do not 337 consider the reciprocal variations of these elements. The overall form of the brain surface (that 338 is, its size and shape) can actually be extrapolated from the endocranial form, because brain 339 growth molds the neurocranial bones directly, most of all at the vault (Moss and Young, 1960; 340 Enlow, 1990). Furthermore, the correspondence between brain morphology and endocranial 341 surface, although not complete, is also sufficiently reliable to localize major anatomical cortical 342 traits on endocasts (Kobayashi et al., 2014a). In contrast, the specific extension of the cortical 343 areas should not be inferred or extrapolated directly from the position of the cranial 344 boundaries alone.

345 Quantitative scaling rules derived from the observed variation of relative spatial positions, like 346 in the present analysis, could be used to extrapolate brain landmarks from cranial landmarks. 347 Nonetheless, the current study evidences that, beyond such a lack of fixed proportions 348 between bones and lobes boundaries, there is also an important individual variation 349 suggesting that multiple factors are involved in the final phenotype. In adult modern humans, 350 the length of the parietal bone is influenced by the size of the parietal lobes to a very minor 351 extent (7-10%), and this value is even lower when accounting only for the length of the 352 precuneus (4-6%). Arcs displayed larger correlations than chords, revealing a role of the 353 bulging effect, but the increase of variance explained is however scanty (2-3%). This means 354 that, even if the parietal lobe moulds the shape and surface of the parietal bone (Moss and 355 Young, 1960), it influences its longitudinal extensions to a much minor degree. Correlations at 356 inter-specific level are often more pronounced than at intra-specific level, and therefore we 357 may expect that this value, when comparing different hominids, may be larger. It must be in fact evidenced that this result refers to intra-specific adult variation. Intra-specific and inter-358 359 specific correlation patterns can be based on very different mechanisms, the former being the 360 result of normal variation, and the latter of specific adaptations (Martin and Barbour, 1989). 361 Integration, pleiotropy, and poligeny create important connections between intra- and inter-362 specific variability (e.g., Cheverud 1982, 1996). However, results in one of these two domains 363 should not be strictly intended as results in the other. In this sense, studies in comparative

364 primatology will be necessary to evaluate whether the patterns observed in the current 365 analysis can be extended beyond the species-specific limits. For example, macaques display 366 more stable relationships between cranial and cerebral references, at least when considering 367 the coronal suture and cortical references associated with parasagittal elements of the frontal 368 lobes (Kobayashi et al., 2014b). A similar consideration must be put forward when considering 369 static variation (that is adult variation, such as in this study) versus ontogenetic variation. 370 Nonetheless, without this information, we must take into account that vault bones and brain 371 lobes may share shape (curvature) and surface morphology (sulcal traits), but the reciprocal 372 position of their anatomical boundaries and extension is more variable and less reliable.

373 The shape variations described here are particularly important when considering that the 374 precuneal changes responsible for modern human brain variability are very similar to the 375 pattern of cranial variation associated with the modern human skull evolution (Bruner et al., 376 2014b). These two patterns are so comparable to suggest a relationship between intra-specific 377 and inter-specific variations. When compared with other hominids, Homo sapiens displays a 378 distinct and specific increase of the parietal bone diameters (Bruner et al., 2011). Hence, the 379 pattern described in this study, responsible for the changes in the distances between bones 380 and lobes, may be the same involved in generating cranial differences between modern and 381 non-modern humans.

Non-modern human species lacked the parietal bulging described in modern humans, possibly even presenting a negative allometric trend: the larger the brain, the relatively shorter the parietal areas (Bruner, 2004). If the mismatch between brain and skull landmarks described in this study is also effective at evolutionary level, we must infer that Neanderthals, having the largest cranial capacity among non-modern human species, probably displayed extreme values along this morphological vector. That is, Neanderthals may have had the bregma which was more distant from the central sulcus compared with modern humans.

389 The parietal bone and the occipital bone are strongly integrated, and the bulging of one of 390 these areas is associated with the flattening of the other (Gunz and Harvati, 2007). 391 Interestingly, in Neanderthals, the relative shortening of the parietal areas, possibly related to 392 encephalization and parietal constraints in the human genus, is associated with lambdatic 393 supernumerary ossicles, suggesting a degree of morphogenetic imbalance in those areas 394 (Manzi et al, 1996; Bruner, 2014). Taking into account the pattern described in this article, we 395 may wonder whether such lack of reciprocal adjustment between brain and skull references, 396 associated with structural limits of a large brain size and the integration between the parietal 397 and occipital bones, may be involved in those constraints and consequent morphological 398 instability.

399

400 **Conclusions**

402 Paleoneurology aims to reconstruct brain form in fossil species, by analyzing their endocranial 403 anatomy (Holloway et al., 2004). Unfortunately, cortical imprints on the endocranial surface 404 may be very faint, and much experience is needed to reveal cortical patterns on the 405 endocranial mould. Generally, multiple sources of information are necessary to make such 406 inferences, integrating metric and non-metric inputs from the neighboring cranial and 407 endocranial characters. Despite such uncertainty, endocranial morphology is the only direct 408 evidence of brain change in evolutionary neuroanatomy, and we should try to optimize this 409 resource. This study evidences that, at least when considering the adult intra-specific variation, 410 the spatial correlation between cranial and cerebral elements is scarce. The main source of 411 morphological variation, i.e. the size of the precuneus, alters the reciprocal position of neural 412 and cranial elements. Although the proportions of the parietal lobes are probably crucial in 413 shaping the brain phenotype in both ontogenetic and phylogenetic terms (Bruner et al., 414 2014a,b, 2015), its extension shows only a weak correlation with the extension of the parietal 415 bone. Local influences and multiple factors associated with a non-linear morphogenetic 416 process based on different and independent stages are probably the reason of such lack of 417 strict correspondence in the position of hard and soft tissues. The lack of strong integration 418 patterns makes any relationship between cerebral and neurocranial boundaries feeble. Scaling 419 rules can be tentatively investigated to evaluate how those boundaries can vary according to 420 the variation of specific brain areas such as, in this case, the parietal cortex. Although brain and 421 braincase shows a reciprocal relationship in terms of size (volume) and shape (curvature), the 422 position of their anatomical elements is sensitive to independent factors. This independence 423 must be necessarily considered when evaluating brain reconstruction in fossil species.

424

425 Acknowledgments

426

This study is funded by a Grant-in-Aid for Scientific Research on Innovative Areas 427 428 "Replacement of Neanderthals by Modern Humans: Testing Evolutionary Models of Learning" 429 from the Japanese Ministry of Education, Culture, Sports, Science, and Technology 430 (#22101006). Emiliano Bruner and José Manuel de la Cuétara are funded by the Ministerio de 431 Economía y Competitividad, Spain (CGL2012-38434-C03-02) and by the Italian Institute of 432 Anthropology (Isita). Two anonymous referees provided very useful notes improving the 433 structure of the manuscript and the interpretation of the results. We thank Gizéh Rangel y 434 Sofia Pedro for their comments, and Simon Neubauer for the images in Figure 4. The OASIS 435 project is founded by NIH grants P50AG05681, P01AG03991, P20MH071616, RR14075, 436 RR16594, BIRN002, the Alzheimer's Association, the James S. McDonnell Foundation, the 437 Mentall llness and Neuroscience Discovery Institute, and the Howard Hughes Medical Institute. 438 The authors declare no conflict of interest.

439

440 References

- 442 Aldridge K, Marsh JL, Govier D, Richtsmeier JT (2002). Central nervous system phenotypes in
 443 craniosynostosis. *J Anat* 201, 31-39.
- Barberini F, Bruner E, Cartolari R, et al. (2008) An unusually-wide human bregmatic Wormian
 bone: anatomy, tomographic description, and possible signifcance. *Surg Radiol Anat*30, 683–687.
- Bastir M, Rosas A (2005) Hierarchical nature of morphological integration and modularity in
 the human posterior face. *Am J Phys Anthropol* **128**, 26-34.
- Bastir M, Rosas A, O'Higgins P (2006) Craniofacial levels and the morphological maturation of
 the human skull. *J Anat* 209, 637-654.
- 451 Bookstein FL (1991) Morphometric Tools for Landmark Data: Geometry and Biology.
 452 Cambridge: Cambridge University Press.
- 453 **Bookstein FL, Gunz P, Mitteroecker P, et al.** (2003) Cranial integration in *Homo*: singular warps 454 analysis of the midsagittal plane in ontogeny and evolution. *J Hum Evol* **44**, 167-187.
- 455 **Bruner E** (2004) Geometric morphometrics and paleoneurology: brain shape evolution in the 456 genus *Homo. J Hum Evol* **47**, 279-303.
- Bruner E (2014). Functional craniology, human evolution, and anatomical constraints in the
 Neanderthal braincase. In: Akazawa T., Ogihara N., Tanabe H.C., & Terashima, H. (eds.),
 Dynamics of Learning in Neanderthals and Modern Humans (Vol. 2), pp. 121–129.
 Japan: Springer.
- 461 Bruner E (2015) Functional craniology and brain evolution. In: *Human Paleoneurology* (ed.
 462 Bruner E.), pp. 57-94. Springer International Publishing.
- 463 Bruner E, Ripani M (2008) A quantitative and descriptive approach to morphological variation
 464 of the endocranial base in modern humans. *Am J Phys Anthropol* 137, 30–40.
- Bruner E, Saracino B, Ricci F, et al. (2004) Midsagittal cranial shape variation in the genus
 Homo by geometric morphometrics. *Coll Antropol* 28, 99-112.
- Bruner E, Martin-Loeches M, Colom R (2010) Human midsagittal brain shape variation:
 patterns, allometry and integration. J Anat 216, 589–599.
- 469 Bruner E, de la Cuétara JM, Holloway R (2011) A bivariate approach to the variation of the
 470 parietal curvature in the genus *Homo. Anat Rec* 294, 1548-1556.
- 471 Bruner E, Rangel de Lázaro G, de la Cuétara JM, et al. (2014a). Midsagittal brain variation and
 472 shape analysis of the precuneus in adult humans. *J Anat* 224, 367-376.
- 473 Bruner E, de la Cuétara JM, Masters M, Amano H, Ogihara N (2014b) Functional craniology
 474 and brain evolution: from paleontology to biomedicine. *Frontiers in neuroanatomy* 8.

475 Bruner E, Román FJ, de la Cuétara JM, Martin-Loeches M, Colom R. (2015). Cortical surface 476 area and cortical thickness in the precuneus of adult humans. Neuroscience 286, 345-477 352. 478 Cheverud JM (1982) Relationships among ontogenetic, static, and evolutionary allometry. Am J 479 Phys Anthropol 59, 139–149. 480 Cheverud JM (1996) Developmental integration and the evolution of pleiotropy. Am Zool 36, 481 44-50. 482 Cotton F, Rozzi FR, Vallee B, et al. (2005) Cranial sutures and craniometric points detected on 483 MRI. Surg Radiol Anat **27,** 64-70. 484 Enlow DH (1990) Facial growth. Philadelphia: Saunders. 485 Gogtay N, Giedd JN, Lusk L, et al. (2004) Dynamic mapping of human cortical development during childhood through early adulthood. Proc Natl Acad Sci U.S.A. 101, 8174-8179. 486 487 Gómez-Robles A, Hopkins WD, Sherwood CC (2014) Modular structure facilitates mosaic 488 evolution of the brain in chimpanzees and humans. Nature communications 5. 489 Gunz P, Harvati K (2007) The Neanderthal "chignon": variation, integration, and homology. J 490 *Hum Evol* **52**, 262–274. 491 Hammer Ø, Harper DAT, Ryan PD (2001) PAST: paleontological statistics software package for 492 education and data analysis. Paleontol Electronica 4, 1–9. 493 Hilgetag CC, Barbas H (2005) Developmental mechanics of the primate cerebral cortex. Anat 494 Embryol 210, 411-417. 495 Holloway RL, Broadfiled DC, Yuan MS (2004) The human fossil record, Vol. 3: Brain endocast. 496 Hoboken: Wiley. 497 Jiang X, Iseki S, Maxson RE, Sucov HM, Morriss-Kay GM (2002) Tissue origins and interactions 498 in the mammalian skull vault. Develop Biol 241, 106-116. 499 Klingenberg CP (2011) MorphoJ: an integrated software package for geometric 500 morphometrics. Mol Ecol Resour 11, 353-357. 501 Kobayashi Y, Matsui T, Haizuka Y, Ogihara N, Hirai N, Matsumura G. (2014a) Cerebral sulci 502 and gyri observerd on macaque endocasts. In: Akazawa T., Ogihara N., Tanabe H.C., & 503 Terashima, H. (eds.), Dynamics of Learning in Neanderthals and Modern Humans (Vol. 504 2), pp. 131–137. Japan: Springer. 505 Kobayashi Y, Matsui T, Haizuka Y, Ogihara N, Hirai N, Matsumura G. (2014b) The coronal 506 suture as an indicator of the caudal border of the macaque monkey prefrontal cortex. 507 In: Akazawa T., Ogihara N., Tanabe H.C., & Terashima, H. (eds.), Dynamics of Learning 508 in Neanderthals and Modern Humans (Vol. 2), pp. 139–143. Japan: Springer.

- 509 Manzi G, Vienna A, Hauser G (1996) Developmental stress and cranial hypostosis by
 510 epigenetic trait occurrence and distribution: an exploratory study on the Italian
 511 Neandertals. J Hum Evol 30, 511–527.
- 512 **Marcus DS, Wang TH, Parker J, et al.** (2007) Open Access Series of Imaging Studies (OASIS): 513 cross-sectional MRI data in young, middle aged, nondemented, and demented older 514 adults. *J Cognitive Neurosci* **19**, 1498-1507.
- 515 Martin RD, Barbour AD (1989) Aspects of line-fitting in bivariate allometric analyses. *Folia* 516 *Primatol* 53, 65–81.
- 517 **Mitteroecker P, Bookstein F** (2008) The evolutionary role of modularity and integration in the 518 hominoid cranium. *Evolution* **62**, 943-958.
- Morriss-Kay GM, Wilkie AO (2005) Growth of the normal skull vault and its alteration in
 craniosynostosis: insights from human genetics and experimental studies. J Anat 207,
 637-53.
- Moss ML, Young RW (1960) A functional approach to craniology. *Am J Phys Anthropol* 18, 281–
 292.
- 524 Neubauer S (2014) Endocasts: possibilities and limitations for the Interpretation of human
 525 brain evolution. *Brain Behav Evol* 84, 117–134.
- 526 Neubauer S, Gunz P, Hublin JJ (2009) The pattern of endocranial ontogenetic shape changes in
 527 humans. J Anat 215, 240–255.
- 528 **Ogihara N, Amano H, Kikuchi T, et al.** (2015). Towards digital reconstruction of fossil crania 529 and brain morphology. *Anthropol Sci* (in press).
- 530 **Ribas GC, Yasuda A, Ribas EC, Nishikuni K, Rodrigues AJ Jr** (2006) Surgical anatomy of 531 microneurosurgical sulcal key-points. *Neurosurgery* **59**, S177-S208.
- Richtsmeier JT, Aldridge K, de Leon VB, et al. (2006) Phenotypic integration of neurocranium
 and brain. J Exp Zool B Mol Dev Evol 306B, 360–378.
- **Rohlf FJ, Corti M** (2000) The use of two-block partial least squares to study covariation in
 shape. *Syst Biol* 49, 740–753.
- 536 **Van Essen DC** (1997) A tension-based theory of morphogenesis and compact wiring in the 537 central nervous system. *Nature* **385**, 313-318.
- Wagner GP (1984) On the eigenvalue distribution of genetic and phenotypic dispersion
 matrices: evidence for a nonrandom organization of quantitative character variation. J
 Math Biol 21, 77–95.
- 541 Zelditch M, Swiderski D, Sheets D, Fink W (2004) Geometric Shape Analysis for Biologists: A
 542 Primer. San Diego: Elsevier.

Table 1. Distribution of the inter-landmark distances (mm.)

		St.	
	Mean	Dev.	25º Median 75º
Bregma-Central sulcus	57.9	6.8	54.0 57.3 61.5
Lambda-Perpendicular sulcus	10.1	6.9	5.7 10.3 14.5
Parietal bone length	114.2	5.8	109.8 114.2 118.8
Parietal lobe length	56.6	7.3	51.5 56.8 61.3
Precuneus length	37.0	7.6	31.3 37.3 41.4

550



551

Figure 1. Parietal bones and parietal lobes share morphogenetic processes, displaying a correspondence in terms of curvature and size. However, we ignore whether larger lobes involve proportional larger bone (a) or else if their respective boundaries are not sensitive to reciprocal variations (b). Position of lambda (lmb), bregma (br), central sulcus (cs) and parietooccipital sulcus (pos) are here approximate for graphic purposes.



559

Figure 2. In the upper row, configuration of landmarks used in this analysis plotted on the 560 561 mean superimposed images from the whole sample, displaying the average brain midsagittal 562 morphology (left) and showing the spatial relationships between frontal, parietal, and occipital lobes (FL, PL, OL) and bones (FB, PB, OB). In the lower row, landmark with labels (red: brain 563 564 landmarks; blue: cranial landmarks; unlabelled landmarks: semilandmarks)(left), scatterplot 565 after Procrustes superimposition (center), and map of residual variation after registration (red: 566 high; blue: small)(right). Labels - bas: basion; br: endobregma; cg: crista galli; cs: central sulcus; 567 ge: genu; iop: interna occipital protuberance; lmb: endolambda; mcs: marginal ramus of the 568 cingulate sulcus; nas: nasion; opi: opistion; poi: parieto-occipital sulcus (internal); pos: parietooccipital sulcus (external); prc: precentral sulcus; se: sella; sp: splenium. The arrows show the 569 570 overlapping of the parieto-occipital sulcus (perpendicular scissure) and endolambda. Cranial 571 and brain landmarks were sampled on the endocranial surface, independently upon minor 572 differences due to meningeal thickness, which is nonetheless negligible and not properly 573 visible at the current resolution.

574

576





Figure 3. a) scree plot of the Principal Component Analysis, showing in green the components beyond the broken stick threshold (blue curve) and the 5% variance (violet area); b) deformation grid show the changes associated with the first component, with expansion factors (red: dilation; blue: compression); c) the same pattern showed by wireframes; d) wireframes for the principal component when considering only precuneus, paracentral lobule, bregma (br), and lambda (lmb).

584



585

586

Figure 4. Parietal bulging in modern humans occurs in the early postnatal stage (A), while frontal and facial morphology undergo changes in successive ontogenetic steps (B). The spatial dissociation between cerebral and cranial elements can be the result of the early parietal growth. Alternatively, parietal bones and lobes can be more integrated during this stage, but the spatial association can be lost in the successive steps, after modification of the frontal and facial blocks (digital reconstructions after Neubauer, 2014).